



EN 2163

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/930,698	
	<b>Filing Date</b>	08/15/2001	
	<b>First Named Inventor</b>	R. Flam	
	<b>Group Art Unit</b>	2,163	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	5	<b>Attorney Docket Number</b>	sparta01.001

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Certification under 37 C.F.R. 1.97 (b)
<b>Remarks</b>		

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Gordon E. Nelson, #30,093
Signature	
Date	07/19/2005

<b>CERTIFICATE OF MAILING</b>		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 7/19/2005		
Typed or printed name	Gordon E. Nelson, #30,093	
Signature	<i>Gordon E. Nelson</i>	Date 7/19/2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(sparta01.001)

**Applicant:** FLAM

**Paper No.:**

**Application No:** 09/930,698

**Group Art Unit:**

**Filed:** 12/14/2000

**Examiner:**

**Title:** *System and method for automated process control*

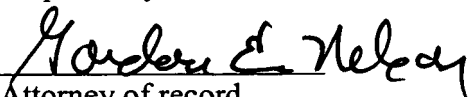
.....

Commissioner for Patents  
Alexandria, VA 22313-1450

**Relevance of the References in the Information Disclosure Statement**

The references listed in the Information Disclosure Statement have been cited in the prosecution of USSN 10/022,104, Flam et al., *Configuring activities to perform operations on user-defined fields*, filed 12/13/2001 and USSN 10/036,591, R. Flam, *Graphical user interface for automated process control*, filed 11/7/2001, which are both descendants of the above application.

Respectfully submitted,

  
Attorney of record,

Gordon E. Nelson  
57 Central St., P.O. Box 782  
Rowley, MA, 01969,  
Registration number 30,093  
Voice: (978) 948-7632  
Fax: (617) 788-0932

7/19/2005

Date



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(sparta01.001)

**Applicant:** FLAM

**Paper No.:**

**Application No:** 09/930,698

**Group Art Unit:**

**Filed:** 12/14/2000

**Examiner:**

**Title:** *System and method for automated process control*

.....

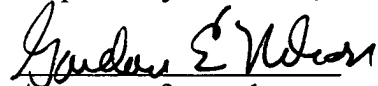
Commissioner for Patents  
Alexandria, VA 22313-1450

**Certification under 37 C.F.R. 1.97(b)**

Applicants' attorney hereby certifies that the items of information contained in the attached information disclosure is being filed in the above patent application within one of the following time periods:

- \_\_\_\_ (1) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- \_\_\_\_ (2) Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
- X   (3) Before the mailing of a first Office action on the merits; or
- \_\_\_\_ (4) Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.

Respectfully submitted,



Attorney of record,  
Gordon E. Nelson  
57 Central St., P.O. Box 782  
Rowley, MA, 01969,  
Registration number 30,093  
Voice: (978) 948-7632  
Fax: (617) 788-0932

7/19/2005

Date



**Certificate of Mailing**

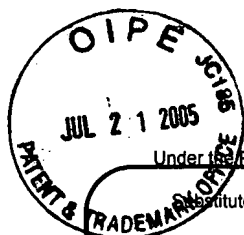
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
Alexandria, VA 22313-1450

on July 19, 2005  
(Date)

Gordon E. Nelson, #30,093

Gordon E. Nelson  
(Signature)



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	09/930,698
Filing Date	12/14/2000
First Named Inventor	FLAM
Art Unit	
Examiner Name	
Attorney Docket Number	sparta01.001

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,119,476	06-1992	Texier, Michel	
		US- 5,371,675	12-1994	Greif et al.	
		US- 5,414,809	05-1997	Hogan et al.	
		US- 5,528,745	06-1996	King et al.	
		US- 5,546,525	08-1996	Wolf et al.	
		US- 5,630,125	05-1997	Zellweger, Paul	
		US- 5,812,133	09-1998	Schultz et al.	
		US- 5,966,716	10-1999	Comer et al.	
		US- 5,969,705	10-1999	Fisher et al.	
		US- 6,011,560	01-2000	Stiles, Ian James	
		US- 6,057,841	05-2000	ThurLOW et al.	
		US- 6,384,848	05-2002	Kojima et al.	
		US- 2003/0066032	04-2003	Ramachandran et al.	
		US- 2003/0177140	09-2003	Debard et al.	
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

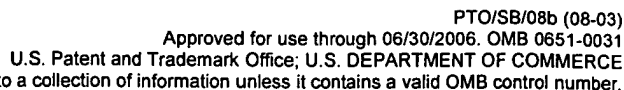
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Substitute for form 1449B/PTO

***(Use as many sheets as necessary)***

**Complete if Known**

Application Number

Filing Date

*First Named Inventor*

**Art Unit**

**Examiner Name**

Sheet

2

of

2

Attorney Docket Number

## NON PATENT LITERATURE DOCUMENTS

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***